



Sheet 1 of 1

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO. 0185418	SERIAL NO. 09/990,123
PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Dipiero, et al.	
		FILING DATE November 21, 2001	GROUP 3627

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLA SS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002//0184148	12-05-2002	Kahn et al.			
	2002/0169701	11-14-2002	Tarbox et al.			
	5,976,082	11-2-1999	Wong, et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

		AN EBRI SPECIAL REPORT AND ISSUE BRIEF NUMBER 124, March 1992; pp. 1-37
		Sourbeer, James N., "BROKER WORLD", Praire Village, March 1990, Vol. 10, ISS.3; pg. 36, 3 pgs., http://proquest.umi.com/pqdweb?index=0&did=998138&SrchMode=1&sid=3&Fmt=2&Vin...,8/17/2006

EXAMINER

DATE
CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in the conformance and not considered. Include copy of this form with next communication to applicant.

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